



## ISO 37301 - Certified Lead Auditor

### Course Outline

[www.gaqm.org](http://www.gaqm.org)



#### About ISO 37301 - Certified Lead Auditor Certification

A CMS provides organizations a structured approach to meet all compliance obligations, i.e., requirements that they mandatorily have to comply with such as laws, regulations, court rulings, permits, licenses, as well as those that they voluntarily choose to comply with such as internal policies and procedures, codes of conduct, standards, and agreements with communities or NGOs.

ISO 37301 can be applied to all organizations, regardless of their size, nature, or complexity of activity. CMS is based upon the principles of integrity, good governance, proportionality, transparency, accountability, and sustainability. ISO 37301 is a Type A management system standard which sets out the requirements and provides guidelines for establishing, developing, implementing, evaluating, maintaining, and continually improving a compliance management system (CMS).

#### What Modules are covered?

Module 1 - Foundation

Module 2 - Compliance Management System

Module 3 - PDCA Cycle

Module 4 - Requirements

Module 5 - Risk Assessments

Module 6 - Compliance Obligations

Module 7 - Leadership and Commitment

Module 8 - Compliance Culture and Governance

Module 9 - Compliance Functions

Module 10 - Design

Module 11 - Resource Allocation

Module 12 - Monitoring and Management Review

Module 13 - Continual Improvement

Module 14 - Root Cause Analysis

Module 15 - Changes