



Exam Name – ISO 37301 - Certified Lead Auditor

Exam Code – ISO-37301-CLA

Sample Exam

Which of the following term generally refers to rules or guidelines someone in authority has set?

- A) Protocols
- B) Procedures
- C) Compliance
- D) Referrals

Answer: B

Which of the following are examples of compliance? (Choose two)

- A) Legal permits
- B) Invoice statement
- C) Organizational rules and procedures
- D) Risk register

Answer: BD

Which of the following framework incorporates necessary structures, policies, processes and procedures to achieve the desired compliance objectives?

- A) Risk Management System
- B) Compliance Management System
- C) Project Management System
- D) Service Management System

Answer: B



True or False: Third-Party audits are conducted to collect evidence?

- True
- False

Answer: True

Which stage of the PDCA cycle involves setting the goals and objectives for the Compliance Management System?

- Plan
- Do
- Check
- Act

Answer: A

Which of the following is a cyclical approach to achieving continuous improvement and can be applied to individual components of a compliance management system?

- PDCA Cycle
- PERT Cycle
- KANBAN
- Six Sigma

Answer: A